



JC07 Rec'd PCT/PTO 02 JAN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Payne et al.  
Appl. No.: 09/868,441  
Int. Appl. No.: PCT/GB99/01719  
I.A. Filing Date: June 16, 1999  
Docket No.: 1768  
Title: PROCESS FOR PREPARING POLYAMINES

Art Unit:  
Examiner:

Action: **TRANSMITTAL OF INFORMATION DISCLOSURE**  
Date: November 8, 2001

TO: Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The documents identified on the attached form PTO/SB/08 have come to the attention of the undersigned in connection with the subject application. The identification of these documents is for the purpose of meeting Applicant's duty of disclosure under 37 C.F.R. §1.56 and is not intended to be an admission that any of these documents constitute prior art as to the invention disclosed in the subject application.

The identified documents were cited in the International Search Report established in regard to Int. App. No. PCT/GB99/01719, from which the present application is a 35 U.S.C. 371 national stage application. Copies of these documents are provided herewith, and it is respectfully requested that they be made of record in this proceeding.

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The Examiner is courteously solicited to enter an expeditious allowance of this application. If any questions remain to be addressed, it is respectfully requested that the undersigned attorney for the Applicants be contacted at the number listed below.

Respectfully submitted,

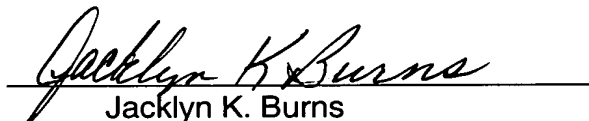
**TIMOTHY J. MARTIN, P.C.**



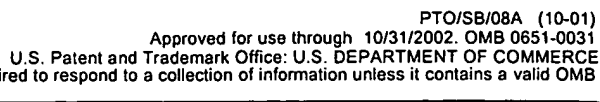
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that the foregoing **TRANSMITTAL OF INFORMATION DISCLOSURE** including **FORM PTO/SB/08** and **REFERENCES** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on this 9<sup>th</sup> day of November, 2001.



Jacklyn K. Burns



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/868,441
				Filing Date	June 16, 1999
				First Named Inventor	Payne et al.
				Art Unit	
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	1768

[illegible][illegible]

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)  
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		Application Number	09/868,441		
		Filing Date	June 16, 1999		
		First Named Inventor	Payne et al.		
		Group Art Unit			
		Examiner Name			
Sheet	2	of	3	Attorney Docket Number	1768

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	1.	G BYK ETAL.: "Synthesis, activity and structure-activity relationship studies of novel cationic lipids for DNA transfer" JOURNAL OF MEDICINAL CHEMISTRY., vol. 41, no 2, 15 January 1998 (1998-01-15), pages 224-235, XP002118261 American Chemical Society. Washington., US Issn: 0022-2623 the whole document	
	2.	H AN ET AL.: "Solution phase combinatorial chemistry. Synthesis of novel linear pyridinopolyamine libraries with potent antibacterial activity" JOURNAL OF ORGANIC CHEM ISTRY., vol. 62, no. 15, 25 July 1997 (1997-07-25), pages 5156-5164 XP002118262 American Chemical Society. Easton., US ISSN: 0022-3263 the whole document	
	3.	CHEMICAL ABSTRACTS, vol. 129, no. 6, 10 August 1998 (1998-08-10) Columbus, Ohio, US; abstract no. 66145, I MARSH ET AL.: "Solid phase polyamine linkers-synthesis and combinatorial utility" XP002118263 & INNOVATION AND PERSPECTIVES IN SOLID PHASE SYNTHESIS. COLLECT. PAP. INTERNATIONAL SYMPOSIUM, 4th, MEETING DATE 1995, 1996, pages 111-114, Mayflower Scientific, Birmingham, UK abstract	

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		Attorney Docket Number	1768
Sheet	3	of	3

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	4.	CHEMICAL ABSTRACT, vol. 129, no. 21, 23 November 1998 (1998-11-23) Columbus, Ohio, US; abstract no. 275773, G BYK ET AL.: "Novel non-viral vectors for gene delivery: synthesis of a second-generation library of mono-functionalized poly-(guanidinium) amines and their introduction into cationic lipids" XP002118264 & BIOTECHNOLOGY AND BIOENGINEERING., vol. 61, no. 2, 1998 pages 81-87; INTERSCIENCE PUBLISHERS, LONDON., GB ISSN: 006-3592 abstract	

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